

CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence is being filed electronically with the U.S. Patent and Trademark Office on the below date:

Date: January 24, 2008 Name: Tadashi Horie (Reg. No. 40,437) Signature: 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Seiji NISHIKAWA et al.

Appln. No.: 10/070,400

Filed: March 1, 2002

For: APPARATUS AND METHOD FOR
DISPLAYING INFORMATION
RELATING BUSINESS SCHEDULES

Examiner: Choi, Peter H

Art Unit: 3623

Confirmation No.: 6941

Attorney Docket No: 9683/110

REQUEST FOR REFUND

Mail Stop Refunds
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants request a refund in the amount of \$1020.00. Applicants filed Petition and Fee for Extension of Time on August 6, 2007 for the above captioned application, now abandoned. As of August 6, 2007, an Office Action was pending in the above application which was mailed May 7, 2007. The Petition was filed in the above application to keep the application alive so that Applicants could file a divisional application from the application. In fact, a divisional application was filed on August 7, 2007 and has been assigned Serial No. 11/835,122.

However, August 7, 2007 was the three month date from the mailing date of the then pending Office Action. Therefore, payment of the extension fee was not necessary to file the divisional application. Nonetheless, Applicants filed the Petition and Fee for Extension of Time because Applicants' record mistakenly indicated that August 7, 2007

was in the last month to respond to the Office Action. This mistake was made without any deceptive intent.

In support of this request, Applicants enclose a copy of the Office Action dated May 7, 2007 and a copy of the Transmittal Letter dated August 7, 2007 for the divisional application (Serial No. 11/835,122).

Applicants respectfully request a refund of \$1020.00. Please credit deposit account no. 23-1925 in the amount of \$1020.00

Respectfully submitted,

Dated: January 24, 2008


Tadashi Horie
Registration No. 40,437
Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/070,400

03/01/2002

Seiji Nishikawa

9683/110

6941

757

7590

05/07/2007

BRINKS HOER GILSON & LIONE

P.O. BOX 10395

CHICAGO, IL 60610

EXAMINER

CHOI, PETER H

ART UNIT

PAPER NUMBER

3623

MAIL DATE

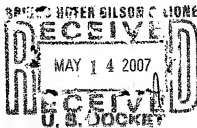
DELIVERY MODE

05/07/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence is being filed electronically with the U.S. Patent and Trademark Office on the below date:

Date: August 7, 2007 Name: Tadashi Horie (Reg. No. 40,437) Signature: Tadashi Horie

In re Application of: Seiji NISHIKAWA; Masanori SHIKIMI; Yukiko NOSE

For: APPARATUS AND METHOD FOR DISPLAYING INFORMATION RELATING BUSINESS SCHEDULES

Attorney Docket No: 9683/279

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UTILITY PATENT APPLICATION TRANSMITTAL

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Transmitted herewith is:

- ☐ A new application under 37 C.F.R. §1.53(b).
☒ A ☐ Continuation, ☒ Divisional, or ☐ Continuation-in-part (CIP) under 37 C.F.R. §1.53(b) of prior application no. 10/070,400.

Prior application information: Examiner: Peter H. Choi Art Unit: 3623

- ☐ Maintenance of dependency of prior application: A request for extension of time and the appropriate fee have been filed in the pending prior application (or are being filed in the prior application concurrently herewith) to extend the period for response until ____.

For Continuation or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied as indicated below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

The following application elements and other papers are attached:

1. Application Including:
 - ☐ Application Data Sheet. See 37 CFR § 1.76.
 - ☒ Title page
 - ☒ Specification, including claims and Abstract (27 pages)
 - ☒ Drawings (20 sheets (Fig. 1-21))
 - ☐ Appendices:
 - ☒ Declaration or ☐ Combined Declaration and Power of Attorney (2 pages):
 - ☐ newly-executed (original or copy)
 - ☒ copy from a prior application (37 CFR §1.63(d))
 - ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR §1.53(d)(4). Please DELETE the following inventor(s) named in prior nonprovisional application no: _____:
2. ☐ Small Entity Status:
 - ☐ Applicant hereby asserts entitlement to claim small entity status under 37 CFR §§ 1.9 and 1.27.
 - ☐ A small entity statement or assertion of entitlement to claim small entity status was filed in prior application no. _____/_____ and such status is still proper and desired.
 - ☐ Is no longer desired.
3. Fee calculation (after entry of any preliminary amendment(s) in Item #7 below):

Claims as Filed	Col. 1		Col. 2		Small Entity			Not a Small Entity		
	No. Filed	No. Extra			Rate	Fee	or	Rate	Fee	
Basic Fee						\$ 150	or			\$ 300
Total Claims	12-20	0			x\$25=	\$	or	0 x\$50=		\$0
Independent Claims	2-3	0			x\$100=	\$	or	0x\$200=		\$0
Multiple Dependent Claims Present					+\$180=	\$	or	+\$360=		\$0
Utility Application Size Fee (for each additional 50					x\$125	\$	or	x\$250=		\$0

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NBC Tower - Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

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sheets that exceeds 100 sheets, including specification and drawings)		=				
Search Fee		+\$250=	\$	or	+\$500=	\$500
Examination Fee		+\$100=	\$	or	+\$200=	\$200
*If the difference in col. 1 is less than zero, enter "0" in col. 2.		Total	\$	or	Total	\$1000

4. Fee payment:

- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ Please charge my Deposit Account No. 23-1925 in the amount of \$1000.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925.
- ☒ Any additional filing fees required under 37 CFR § 1.16.
- ☒ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The Director is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925.
- ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
- ☐ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).
5. ☐ Certified copy of priority document(s)
- ☐ Is attached or ☐ has been filed in prior application no. ____/____.
6. ☐ English Translation Document
- ☐ Is attached or ☐ has been filed in prior application no. ____/____.
7. ☒ Preliminary Amendment:
- ☒ A Preliminary Amendment (5 Pages) is attached (note: related application data required under 37 CFR § 1.78, if any, appears in the Amendments to the Specification section of the Preliminary Amendment, including incorporations by reference).
- ☐ A "Petition to Suspend Prosecution For the Time Necessary to File an Amendment (New Application Filed Concurrently)" is attached.
8. ☒ Information Disclosure Statement, including Form PTO-1449 (1 sheets).
9. ☒ An Assignment to NTT DoCoMo, Inc.:
- ☐ with accompanying Assignment Recordation Cover Sheet, is attached.
- ☒ was previously recorded on March 1, 2002 at Reel 012781, Frame 0657.
10. ☐ Power of Attorney (____ pages); ☐ by Inventor ☐ by Assignee identified in item #9 above)
11. ☐ Nonpublication Request under 35 USC § 122(b)(2)(B)(i)
12. ☐ Other:
13. ☐ Return Postcard
14. The power of attorney in the prior application is to: Tadashi Horie (Reg. No. 40,437).
- ☒ The power appears in the original papers in the prior application.
- ☐ The power does not appear in the original papers in the prior application, but was filed on: _____
- ☐ A new power has been executed and is attached.
15. CORRESPONDENCE ADDRESS: please recognize the correspondence address for this application as the address associated with the following Customer Number:

Customer No. 00757 - Brinks Hofer Gilson Lione

16. PLEASE DIRECT all telephonic communications to:

Tadashi Horie (tel: (312) 321-4200).

Respectfully submitted,


 Tadashi Horie (Reg. No. 40,437)

August 7, 2007

Date

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NEC Tower - Suite 3600, 455 N. Dearborn Plaza Drive, Chicago, IL 60611-6999

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